

....., dated,
company stamp / full name of NEMO *place* *date*

Appendix 1

Application Form

**Application
for NEMO accession to MNA Operational Agreement for the purposes of
single day-ahead or intraday coupling**

- 1. The completed application, signed by persons authorised to represent the NEMO, shall be sent or delivered to the following address:**

Polskie Sieci Elektroenergetyczne Spółka Akcyjna
Departament Współpracy Międzynarodowej
ul. Warszawska 165
05-520 Konstancin–Jeziorna
Poland

3. Persons authorised by the NEMO to directly contact Polskie Sieci Elektroenergetyczne Spółka Akcyjna about issues relating to MNA OA according to Article 8.1 of the Regulation.

3.1.1. First name

3.1.2. Surname

3.1.3. Position

3.1.4. Telephone No.

Area code

Telephone

3.1.5. Email address

3.2.1. First name

3.2.2. Surname

3.2.3. Position

3.2.4. Telephone No.

Area code

Telephone

3.2.5. Email address

3.3.1. First name

3.3.2. Surname

3.3.3. Position

3.3.4. Telephone No.

Area code

Telephone

3.3.5. Email address

4. Person responsible on the part of NEMO for information exchange issues (WIRE system).*

4.1.1. First name

4.1.2. Surname

4.1.3. Position

4.1.4. Telephone No.

Area code

Telephone

4.1.5. Email address

* Applies to the NEMO's representative responsible for the provisions of Appendix 2 to the Accession Form in Part I "Information exchange issues" to the Agreement.

5. Persons authorised by the NEMO to submit Electricity Sales Contracts (USE).*

5.1.1. First name

5.1.2. Surname

5.1.3. Position

5.1.4. Telephone No.

Area code

Telephone

5.1.5. Email address

5.2.1. First name

5.2.2. Surname

5.2.3. Position

5.2.4. Telephone No.

Area code

Telephone

6.2.5. Email address



6.3.1. First name

6.3.2. Surname

6.3.3. Position

6.3.4. Telephone No.

Area code

Telephone

6.3.5. Email address

*

Applies only to Model 1 of CCP operation in accordance with Section 9.5. of the Application.

7. Persons authorised by the NEMO to submit notifications of cross-border electricity exchange schedules (ZGMC).*

7.1.1. First name

7.1.2. Surname

7.1.3. Position

7.1.4. Telephone No.

Area code

Telephone

7.1.5. Email address



7.2.1. First name

7.2.2. Surname

7.2.3. Position

7.2.4. Telephone No.

Area code

Telephone

9.3. Shipping configuration with relation to relevant borders

A. SIDC

No.	Border	Shipping operating model*	Name of a Shipping Agent**
1.	PL- DE	<input type="checkbox"/> Model 1 <input type="checkbox"/> Model 2	
2.	PL - CZ	<input type="checkbox"/> Model 1 <input type="checkbox"/> Model 2	
3.	PL - SK	<input type="checkbox"/> Model 1 <input type="checkbox"/> Model 2	
4.	PL - LT	<input type="checkbox"/> Model 1 <input type="checkbox"/> Model 2	
5.	PL- SE	<input type="checkbox"/> Model 1 <input type="checkbox"/> Model 2	

B. SDAC

No.	Border	Shipping operating model*	Name of a Shipping Agent**
1.	PL- DE	<input type="checkbox"/> Model 1 <input type="checkbox"/> Model 2	
2.	PL - CZ	<input type="checkbox"/> Model 1 <input type="checkbox"/> Model 2	
3.	PL - SK	<input type="checkbox"/> Model 1 <input type="checkbox"/> Model 2	
4.	PL - LT	<input type="checkbox"/> Model 1 <input type="checkbox"/> Model 2	
5.	PL- SE	<input type="checkbox"/> Model 1 <input type="checkbox"/> Model 2	

* Please choose at least one of the options in accordance with Article 4.5 of the MNA Regulation. If not applicable then please leave the relevant record(s) empty.

** Please provide full name of the Shipping Agent(s) in Model 1 and/or 2. If not applicable then please leave the relevant record(s) empty.

9.4. Shipping Agent's imbalances settlement

NEMO/CCP* is responsible for imbalances of the following Shipping Agents according to the Terms and Conditions related to Balancing:

No.	Shipping Agent**
1	
2	
3	

* Delete as appropriate.

** If Shipping Agent is a different entity than NEMO, please fill in section 10.

9.5. CCP operating model*

Model 1

Model 2

* Please choose one of the options in accordance with Article 4.3 of the MNA Regulation. If Model 2 is applied please fill in section 11.

9.6. EIC codes*

Document description	Document code	Role	EIC code of the party	Display name
Day ahead market coupling results	ZWMC (POWMC)	x		
Day ahead nominations	ZGMC (IGMC, UGMC)	x		
Day ahead Capacity Rights Document	CRD from DA CCCT	x		
IDA market coupling results	ZWMCB	x		
IDA Capacity Rights Document	CRD from ID CCCT	x		
IDA information about received nominations	IPGMCB	x		
Intraday continuous market coupling results	CTS from XBID	SA		
Intraday continuous market coupling results	CTS from XBID	CCP		

Intraday continuous information about received nominations	IPGMCB	SA		
Intraday continuous information about received nominations	IPGMCB	CCP		
Intraday confirmation of matched schedules	UGMCB	x		

* Active international EIC code registered with the Central Issuing Office. The CCP's and Shipping Agent's EIC codes in CTS file must be different. Please fill for concerned NEMO, its CCP (in case CCP operating Model 2) and Shipping Agent(s) listed in section 9.4

11.2 Address of the CCP's registered office

11.2.1. Street No.

11.2.2. Postcode

11.2.3 Place (Municipality/County/Voivodeship)

11.2.4. Country

11.2.5. Telephone No.
 Area code Telephone

 |

11.2.6. Email address

11.2.7. Tax identification number (NIP) for the purposes of the tax on goods and services - VAT

* Applies only to Model 2 of CCP operation in accordance with Section 9.5. of the Application.

11.3. Has the CCP obtained access to the WIRE/UR system for the purpose of performing the function of Market Operator (MO) for its own Schedule Units, in accordance with the Agreement and the provisions of Terms and Conditions related to Balancing?*

Yes No

* Applies only to Model 2 of CCP operation in accordance with Section 9.5. of the Application. If the answer is "no", please follow the "Procedure of connecting and accepting Market Operator's information systems to the TSO's information systems for WIRE/UR and WIRE" (available on the TSO's website www.pse.pl).

11.4. The CCP's bank account number for the purposes of settlements for electricity in the Balancing Market (name of the bank, bank account number) and congestion income

11.5. Bank identification code (BIC/SWIFT CODE)

13.2.5. Email address

13.3.1. First name

13.3.2. Surname

13.3.3. Position

13.3.4. Telephone No.

Area code

Telephone

13.3.5. Email address

* Applies only to Model 2 of CCP operation in accordance with Section 9.5. of the Application.

14. Persons authorised by the CCP to submit notifications of cross-border electricity exchange schedules (ZGMC).*

14.1.1. First name

14.1.2. Surname

14.1.3. Position

14.1.4. Telephone No.

Area code

Telephone

14.1.5. Email address

14.2.1. First name

14.2.2. Surname

14.2.3. Position

14.2.4. Telephone No.

Area code

Telephone

14.2.5. Email address

14.3.1. First name

14.3.2. Surname

14.3.3. Position

14.3.4. Telephone No.

Area code

Telephone

14.3.5. Email address

* Applies only to Model 2 of CCP operation in accordance with Section 9.5. of the Application.

15. Persons authorised by the CCP Business Partner to submit requests for emergency USE delivery.*

15.1.1. First name

15.1.2. Surname

15.1.3. Position

15.1.4. Telephone No.

Area code

Telephone

15.1.5. Email address

15.2.1. First name

15.2.2. Surname

15.2.3. Position

15.2.4. Telephone No.

Area code

Telephone

17. Information on obligation stemming from Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation). Persons authorized by the NEMO to contact Polskie Sieci Elektroenergetyczne S.A. and submit documents indicated in Application in point 18.

Polskie Sieci Elektroenergetyczne S.A. with its registered office in Konstancin-Jeziorna, ul. Warszawska 165, 05-520 Konstancin-Jeziorna, tel. 22 242 26 00 (hereinafter: "PSE S.A.") is the Controller of personal data.

The data protection officer may be contacted under telephone number 22 242 26 00 and under an e-mail daneosobowe@pse.pl. Contact details of the data protection officer are also available online, at www.pse.pl.

Your personal data (contact details) have been obtained directly from you or from the NEMO you represent. The personal data shall be processed solely for the purpose of: exchanging correspondence with you, establishing contacts related to your activities undertaken on behalf of the NEMO you represent, including activities resulting from the contract concluded between PSE S.A. and the NEMO, and for the potential establishment, pursuit or defence against any claims. The legal basis for the processing of personal data is the legitimate interest of PSE S.A., consisting in exchanging correspondence with its counterparties and in handling any issues they report, including issues connected with the performance of contracts concluded by PSE S.A. Your personal data shall be processed over a period of time that is necessary to duly cooperate with the NEMO you represent. The processing period may be extended, in each case, by the period of limitation of claims if the processing of personal data turns out to be necessary for the Controller to establish, pursue or defend against potential claims. Your personal data may be transferred to the suppliers of IT systems and services and to entities rendering, for the benefit of PSE S.A., services that are required to execute the contract concluded with the NEMO you represent. The Controller hereby informs you about the right to request, from PSE S.A., access to, rectification, erasure or restriction of processing of personal data concerning You, as well as about the right to data portability and the right to lodge a complaint with a supervisory authority - President of the Data Protection Office (ul. Stawki 2, 00-193 Warszawa).

The provision of personal data is voluntary to the extent to which they have been obtained directly from You. Failure to provide the data shall result in the lack of ability to maintain a proper relationship with the NEMO you represent.

Irrespective of the above, due to the fact that the processing of personal data is based on the premise of the Controller's legitimate interest, the Controller informs you about the right to object to the processing of personal data whenever the processing takes place pursuant to that particular legal basis.

18. List of documents submitted with the Application:

- 1) A copy of a current decision of the President of the Energy Regulatory Office appointing the NEMO within the territory of the Republic of Poland or a decision of the relevant regulatory body of another Member State appointing the NEMO along with confirmation of effective notification of the President of the Energy Regulatory Office about the intention to operate within the territory of Poland.
- 2) Current extract from the relevant register of entrepreneurs (in the case of Polish enterprises, a full extract from the Register of Entrepreneurs of the National Court Register or a certificate of entry in the Central Register and Information on Economic Activity), obtained in compliance with the regulations of the country in which the NEMO/CCP has its registered office, with the date of issuance not older than 3 months.
- 3) Documents confirming the right of the persons representing the NEMO to incur obligations on its behalf.
- 4) *Declaration on the principles of personal data processing* – the completed and signed document to be submitted by the NEMO/CCP* in the form of the template attached to the Application.
- 5) *Declaration on the absence of permanent place of business within the territory of the Republic of Poland* – the completed and signed document in the form of the template attached to the Application to be submitted by the NEMO/CCP having no permanent place of business within the territory of the Republic of Poland.
- 6) *The CCP's declaration in Model 2 in accordance with Article 4.3.2 of the MNA Regulation.*

.....

.....

(Signatures of persons authorized to represent the NEMO)

*Statement is filled by NEMO and CCP acting according to Model 2 of CCP operation

Re. 18.4):

Statement on the principles of personal data processing

.....
name of company

.....,
city

.....
date

Statement on the principles of personal data processing

....(*NEMO's name*).... undertakes to familiarize the persons indicated in:

- Application for NEMO accession to MNA Operational Agreement for the purposes of single day-ahead or intraday coupling (Application),
- MNA Operational Agreement (MNA OA) and responsible for performance of this MNA OA,

With Information obligation stemming from Regulation (EU) 2016/679 of the European Parliament And Of The Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) and terms of processing of personal data by Polskie Sieci Elektroenergetyczne S.A., included in Annex 1 to Application.

.....
(Signatures of NEMO's/CCP's representatives)

Re. 18.5):

.....
Company's stamp / Full Company's Name

.....
City

.....
date

Declaration on the absence of permanent place of business on the Polish territory

On behalf of(*Counterparty's name*).... *I/We** declare, that(*Counterparty's name*)... has no permanent place of business on the Polish territory within the meaning of Article 11 of the Implementing Regulation (EU) No 282/2011 of March 15, 2011 laying down implementing measures for Directive 2006/112/EC on the common system of value added tax (OJ L of March 23, 2011 No. 77, item 1).

At the same time if, in the course of the Contract, the above-mentioned circumstance will occur, then(*Counterparty's name*).... shall immediately inform PSE about this fact in writing.

Failure to do so will result in the transfer of responsibility for settlement of tax liabilities on(*Counterparty's name*).... .

.....
(Signatures of persons authorised to represent the Counterparty)

*delete as appropriate

Re 18.6):

The CCP's declaration in Model 2 in accordance with Article 4.4.2 of the MNA Regulation.

[...]

Name of the company (CCP)

THE CCP'S WRITTEN STATEMENT

Due to the fact that [...] (CCP) was allocated by Polskie Sieci Elektroenergetyczne Spółka Akcyjna with its own scheduling unit [...] [*name of scheduling unit*], [...] (CCP) declares that [...] (CCP) is responsible for the fulfilment of [...] [*its NEMO*] obligations as NEMO arising from the MNA Operational Agreement as regards 1) sending to Polskie Sieci Elektroenergetyczne S.A. nominations resulting from single day-ahead market coupling and single intraday market coupling in the Polish bidding zone, 2) settlement of its NEMO imbalance costs and 3) transfer of congestion income.

In this case, [...] (CCP) fulfills its obligations on its own behalf and is financially responsible for any imbalance of [...] [*its NEMO*] as NEMO and for the transfer of congestion income, in particular an invoice for imbalance and congestion income may be issued to [...] (CCP) by Polskie Sieci Elektroenergetyczne S. A.

.....
(Signatures of CCP's representatives)